

## TERMS OF REFERENCE

### **Assessment of climate effects and non-carbon benefits of the the Rainforest Foundation Norway's programme "Rights-based REDD+: Indigenous peoples as guardians of the rainforest"**

#### **Background**

Rainforest Foundation Norway's program "Rights-based REDD+: Indigenous peoples as guardians of the rainforest" (hereby called the climate programme) is a five year programme funded by Norway's International Climate and Forest Initiative (NICFI). The programme started in 2016 and ends after 2020. RFN implements the programme together with 18 partners, in seven different countries. The annual budget is about 25 million NOK.

The programme's expected outcomes are:

- (i) The international climate regime for land-use and forests includes a rights-based approach and provisions to protect natural ecosystems.
- (ii) Indigenous peoples and other forest-dependent communities enjoy greater respect for their land rights and are recognized for their sustainable management and protection of the rainforest.

An external mid-term review (MTR) has been scheduled for 2018. The main part of the MTR is covered by another tender. The assignment described in this ToR forms part of the MTR, but due to the nature of the assignment, the methodology involved and the qualifications required it is organized as a stand-alone study. It supplements the assessment of the programme to be carried out under the main MTR, and will build the foundation for more precise assessment of the programme's effects in the remaining part of the programme period.

#### **Purpose of the assignment**

The purpose of the review is to provide a better understanding of the likely effects of the programme. In order to do so, the review shall:

1. Estimate the greenhouse gas emissions and removals effect of the applied models for sustainable forest management.
2. Estimate the non-carbon benefits of models for sustainable forest management.
3. Discuss and suggest methods to assess greenhouse gas emissions and removals effects, and the non-carbon benefits, of the programme's applied models for sustainable forest management.

Although it is not possible to quantify the effects in any precise way, the review shall strive to develop a way to estimate the emissions avoided or increase of sinks as result of the project, if the project develops as planned.

#### **Questions that the review aims to answer:**

1. In what ways and to what extent do the models for sustainable forest management contribute to avoid emissions and/or increase sinks in the land sector? To what extent can this be quantified in a meaningful way?

2. How do the models for sustainable forest management contribute to non-carbon benefits for the people living in (or close to) the area where the model is implemented, such as biodiversity preservation, food production and local rights to land? What indicators can be used to assess such benefits?
3. Are there any negative side effects from the two models reviewed?
4. What are useful lessons learned from the two models?
5. What good practices can be extracted from the progress to date in relation to climate effects and non-carbon benefits?
6. What are the best estimates of the effects of the programme with regard to climate effects and non-carbon benefits?
7. What can be done, with reasonable use of resources, to provide a more precise assessment of the programme effects in the remaining part of the programme period?

### **The Scope of the evaluation**

The review aims at providing a better understanding of the effects of the whole programme. It will, however, focus on two models, which have been developed by indigenous peoples and forest dependent communities. These will form case studies, representing two different approaches of the programme. One is run by KKI Warsi in Indonesia, and the other of Association of Captains and Traditional Indigenous Authorities of the Pirá Paraná (ACAIFI) in Colombia. KKI Warsi has extensive experience in securing forest communities' rights to manage their traditional forests sustainably. Since the start of the program in 2016, Warsi has secured almost 20 000 ha of «social forestry» licenses to 14 local communities. Applications for another 60 000 ha are currently being processed by the Ministry of Environment and Forestry. ACAIFI was established in 1994 and is a coalition of local indigenous people with their own management plans covering a territory of 600 000 km<sup>2</sup>. RFN support the strengthening and further development of the ACAIFI. The evaluation will cover the period from 2016 to the present.

### **Methodology**

The methodology for assessment of climate effects and non-carbon benefits is part of the review questions, which this review aims at illuminating. The methodology for the review shall be developed by the consultant(s) and will be agreed with RFN during the inception phase. The review is planned as a desk study, but visits to Indonesia and Colombia may be considered if found necessary.

### **Key milestones and expected deliveries**

The consultant(s) will work closely with RF and partner designated staff. All deliverables will be submitted to RF team on date as mutually agreed during the inception meeting. The consultant should submit the following key deliverables.

Inception report: This should include amongst others, an overview of data collected, a plan for further data collection, and a proposed methodology for analysis of data and estimation of benefits.

Draft report: should include a brief description of the methodology with limitations and challenges faced, and the draft responses to the evaluation questions above.

Presentation: Before the final report is submitted, the consultant will organize a presentation to RFN and possibly partner organisations (by Skype or similar).

Final report: The final report is to be submitted after feedback has been received and integrated into the draft report. The final report should be submitted as a final, proof-read version, in a reader-friendly layout, and submitted electronically. The report shall be in English and constitute a maximum of 30 pages, excluding annexes. It should contain at least the following sections (not exhaustive):

- Executive summary (max 2 pages);
- Introduction and context background;
- Description of the evaluation questions, and methodology including scope, constraints, and limitations, with specific emphasis on the model for estimation of benefits;
- Findings and conclusions;
- Recommendations for future programming to be implemented by RFN

Annexes to be included are: The agreed Terms of Reference (ToR), work plan, data collection instruments, reference to sources of information (including interviewees) for both qualitative and quantitative data.

The consultant shall organize a presentation of the final report with the program funders in Norad.

#### **Timeline and budget**

The consultancy is planned to be undertaken before December 2018. A proposed timeline should be outlined in the proposal. Final dates will be agreed upon between consultants and RFN.

The consultancy is expected to complete the assigned within 40 working days.

#### **Qualification and composition of the Evaluation team:**

One or more consultants will carry out the evaluation. The combined qualifications of the team shall include:

1. Knowledge and understanding of carbon fluxes in tropical forest areas, including modeling of climate effects;
2. Knowledge and understanding of the concept of non-carbon benefits in tropical forest areas;
3. Knowledge and understanding of sustainable forest management;
4. Expertise in quantitative methods

#### **Consultant's proposal**

Candidates interested in submitting a proposal should register by August 10<sup>th</sup> 2018 to the following email address: [andershl@rainforest.no](mailto:andershl@rainforest.no).

Candidates will be given the programme description and results framework. Questions regarding the assignment can be submitted and the answers will be shared with all

registered candidates.

The candidates must submit the following

- CV of all consultants in the team, and a brief summary (maximum two pages combined) of their qualifications. Daily fees based on 8 working hours per day. If team members have different fees, the expected division of labour between them must be indicated.
- Proposed methodology, max 2 pages.
- If the consultant(s) is not located in Oslo, the costs for 3 travels to Oslo. Other travel costs will be agreed separately, based on economy class travel and compensated according to Norwegian government travel regulations.
- Any other costs necessary to carry out the review.

Proposals must be sent to [stilling@rainforest.no](mailto:stilling@rainforest.no) by August 20<sup>th</sup> 2018.